

Expression of Interest (EoI) for Consultancy Services

Consultancy Services for Preparation of Environmental and Social Management
Frameworkö (ESMF) for HPRIDCL

**HIMACHAL PRADESH STATE ROADS
TRANSFORMATION PROJECT (HPSRTP)**

**REQUEST FOR EXPRESSIONS OF INTEREST
(CONSULTING SERVICES)**

**HIMACHAL PRADESH STATE ROADS TRANSFORMATION PROJECT (HPSRTP)
Loan No. IBRD-90660**

Assignment Title: Consultancy Services for Preparation of Environmental and Social Management Framework (ESMF) for HPRIDCL

Reference No.: IN-HPRIDC-242826-CS-QCBS

The Government of Himachal Pradesh has received financing from the World Bank toward the cost of the Himachal Pradesh State Roads Transformation Project (HPSRTP), and intends to apply part of the proceeds for consulting services.

The consulting services (the Services) include to :-

1. Identify key E&S issues/impacts likely in the various types/categories of projects in accordance with HPRIDCL's mandate;
2. review E&S policies of major donor organizations such as World Bank's ESMF, ADB's Safeguard Policy Statement (2009), JICA, KfW and AIIB, to identify E&S considerations that need to be assessed and documentation requirements therein;
3. review existing national and state laws & existing acts, policies and guidelines that cover the identified issues/impacts by type of infrastructure and the provisions, current levels of compliance with these requirements including constraints faced therein;
4. review the existing set of E&S documents prepared for HPSRTP and other major ongoing infrastructure projects of HPRIDC;
5. review practices to address E&S issues in other donor funded projects within and outside the state e.g. roads/transport, water supply and sanitation, urban, agriculture/horticulture to identify good practices worthy of adoption;
6. assess existing capacity at HPRIDC as well as systems and procedures adopted by HPRIDC in coordination with other partner departments/agencies e.g. revenue, forest, labor welfare, NGOs etc. to manage E&S issues;
7. prepare a comparison of country/state's legal framework versus E&S considerations and requirements of the above-mentioned donors as well as a comparison of practices and systems adopted by HPRIDC versus other similar road/transport agencies within the country;
8. undertake consultations with a wide range of institutional stakeholders relevant to HPRIDC's operations as well as communities in project areas of HPRIDC;
9. based on the activities, prepare a draft ESMF that states HPRIDC's E&S policy and procedures to manage E&S aspects;
10. submit the draft ESMF to HPRIDC and World Bank for their review and comments;
11. undertake consultations with diverse set of stakeholders to solicit opinion, comments and feedback on the draft ESMF; and
12. finalize the draft ESMF based on the comments/feedback received for HPRIDC's review approval

The objective of the consultancy is to prepare ESMF based on World Bank's E&S Framework, 2016, although it shall also align with ADB's Safeguard Policy Statement (2009) and other prominent MFIs like KfW and AIIB among others. It shall broadly conform to the various MFIs

requirements, regardless of the funding source. The ESMF should ensure national /state provisions in terms of E&S issues and considerations, their assessment, mitigation, implementation reporting and monitoring requirements. Consultant/ firm ensure that they evolve/develop the content and structure for ESMF in conformity with HPRIDC's mandate as a Functional Road Agency and ensure that all its operations are environmentally and socially sustainable in line with E & S Policy and Framework of National and International or Multilateral Financial Institutions (MFIs).

The indicative Terms of Reference (ToR) subject to modification & finalization before issuing of Request for Proposal(RFP),fortheassignmentareavailableatthefollowingwebsites/URL:-<http://himachalservices.nic.in/hpridc/HPSRTP.html>.

The Himachal Pradesh Road and Infrastructure Development Corporation Limited (HPRIDC) now invite eligible consulting firms (öConsultantsö), to indicate their interest in providing the Services. Interested Consultants should provide information demonstrating that they have the required qualifications and relevant experience to perform the Services. The shortlisting criteria are given in the Expression of Interest (EoI). The EoI includes the following documents: -

- (i) Section I: Instructions for submission of Expression of Interest and Guidelines for preparation of EoI.
- (ii) Section-II: Eligibility and shortlisting Criteria including Annexure-I to V.

The attention of interested Consultants is drawn to paragraphs 3.14, 3.16, and 3.17 of the World Bank's öProcurement Regulations for IPF Borrowersö Fourth Edition, November 2020 and its latest revision (öProcurement Regulationsö), setting forth the World Bank's policy on Conflict of Interest.

Consultants may associate with other firms to enhance their qualifications, but should indicate clearly whether the association is in the form of a joint venture and/or a sub-consultancy. In the case of a joint venture, all the partners in the joint venture shall be jointly and severally liable for the entire contract, if selected.

A Consultant will be selected in accordance with the QCBS method set out in the Procurement Regulations.

The detailed öRequest for Expression of Interestö can be downloaded from any of the followingwebsites/URL:-<http://himachalservices.nic.in/hpridc/HPSRTP.html>.

Further information can be obtained at the address below during office hours *i.e. 1000 to 1700 hours Indian Standard Time (IST) on any working day.*

Expressions of interest must be delivered in a written form to the address below (in person, or by post) by **September 15, 2021** at 1500 hours (IST).

Dated: - **August 26, 2021.**

-Sd-

Chief Engineer-cum-Project Director
State Roads Project, HPRIDC,
Nirman Bhawan, Nigam Vihar,
Shimla-171002(H.P)
Tel:+91-177-2627602, Fax:+91-177-2620663
E-mail: pdsrp-hp@nic.in

Section-I

Instructions for submission of Expression of Interest:

1.	Consultant shall go through the guidelines given below and Section 6 II before preparing EoI Proposal.
2.	Consultant who meets shortlisting criteria given in Section - II, shall prepare EoI as per the guidelines. If the Consultant feels to submit certain information not covered in the guidelines would be in his interest, he may submit such information.
3.	Accomplished Expression of Interest (Application) must be submitted together with a Letter of Intent not later than 15:00 hours (IST) on September 15, 2021 . Documents in support of all qualification information shall be submitted with application. Proposal of EoI with qualification information shall be furnished on/before the due date of submission at the address shown under para 12 here below.
4.	The Application shall be basis of drawing up a shortlist of eligible consultants who will be invited to submit proposal for services required.
5.	All Applications shall be submitted in English.
6.	Power of attorney (on stamp paper duly notarized) of the person submitting the Expression of Interest (EoI) on behalf of the Applicant shall be attached. Joint Venture (JV)/ Association shall not have more than 2 members. One of the members should be a Lead Member.
7.	The Consultant (including the individual members of any Joint Venture/ Association) shall submit only one Proposal, either in its own name or as part of a Joint Venture/ Association member in another Proposal, if a Consultant, including any Joint Venture member/ Association, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected.
8.	The Application and all related correspondence and documents should be written in the English language. Supporting documents and printed literature furnished by the Applicant with the Application may be in any other language provided that they are accompanied with translations in the English language. Supporting materials, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.
9.	HPRIDC reserves the right to reject any Applications, without assigning any reasons thereof.
10.	The Applicant shall provide all the information sought under this REoI document. HPRIDC would evaluate only those Applications that are received in the required format and complete in all respects. Incomplete and /or conditional Applications shall be liable to rejection.
11.	No claims whatsoever will be entertained if submission is not received by due date and time.
12.	Any submission must be addressed to the following: <i>Office of the Chief Engineer-cum-Project Director, State Roads Project, HPRIDC, Nirman Bhawan, Nigam Vihar, Shimla (H.P.), India PIN-171002</i>
13.	The Proposal of EoI must be submitted no later than: Date: September 15, 2021 Time: 15:00 hours (IST) Opening of the EoI Proposal, The opening shall take place at: <i>Office of the Chief Engineer-cum-Project Director, State Roads Project, HPRIDC, Nirman Bhawan, Nigam Vihar, Shimla (H.P.), India PIN-171002</i> Date: September 15, 2021 Time: 15:30 hours (IST)

14.	The Consultant shall submit a signed and complete EoI comprising the documents and forms in accordance with EoI documents.
15.	An authorized representative of the Consultant shall sign the original submission letters in the required format for EoI submission and shall initial all pages. The authorization shall be in the form of a written power of attorney attached to the EoI Proposal.
16.	The signed EoI shall be marked "ORIGINAL", and its two copies marked "COPY-1 & COPY-2" as appropriate. Copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.
17.	The original and the copies of the EoI submission shall be placed inside of a sealed envelope clearly marked "EoI PROPOSAL, to provide Consultancy Services for Preparation of Environmental and Social Management Framework (ESMF) for HPRIDCL under Himachal Pradesh State Roads Transformation Project (HPSRTP) in the State of Himachal Pradesh", reference number, name and address of the Consultant, and with a warning "DO NOT OPEN UNTIL September 15, 2021 15:30 HOURS (IST)".
18.	The sealed envelopes containing the EoI submission original and copies shall be placed into one outer envelope and sealed. This outer envelope shall bear the submission address, reference number, the name of the assignment, Consultant's name and the address, and shall be clearly marked "DO NOT OPEN BEFORE September 15, 2021 15:30 HOURS (IST)".
19.	If the envelopes and packages with the EoI are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the EoI.

Guidelines for Preparation of Expression of Interest

	Following information shall be submitted using given formats and where no formats are specified, free format can be used.
1.	Complete name of firm, date of establishment and type of organization whether individual, Proprietorship, partnership, private limited company, public limited company etc. (Annexure I).
2.	Exact and complete corporate/ registered/ home office address, business address, telephone numbers, fax numbers, E-mail and cable address. For consultant of foreign registry, indicate if there is any branch office(s) established in India with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (Annexure I).
3.	If present firm is the successor to or outgrowth of one or more predecessor firms, fresh name(s) of former entity (ties) and year(s) of their original establishment with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV/Association individually (Annexure I).
4.	Present a brief narrative description of the firms. Information is to be furnished by sole applicant or members of JV individually (Annexure I).
5.	List of not more than two (2) principals who may be contacted by this Office. Listed principals must be empowered to speak for him or for the firm on policy and contractual matters. Information is to be furnished by sole applicant or members of JV individually. (Annexure I)
6.	Under this item, indicate financial figures from consultancy business for past 5 (five) financial years. (Annexure II)
7.	Organizational strength of consultant and its constituents in case of a Joint Venture JV/Association member shall be given. (Annexure III)
8.	Consultant's experience shall be of preparation of ESMF. In case of JV/Association applicant, each member has to likewise give above information. Only those works which are done as prime consultant need to be reported. (Annexure IV)
9.	As many references from employers as the consultant (for each member in case of JV/Association) may choose shall be submitted.
10.	An undertaking for supply of true information shall be given as per (Annexure VI).

Section-II

Short Listing Criteria

A. Annual Turn Over

- (i) Average Annual turnover of the last five financial years i.e. from 2015 -2016 to 2020-2021 updated to the current financial year shall be more than Rs. 4.0 crores. In case of JV/Association, the lead member shall have more than Rs.3.0 crores of annual turnovers (updated) of any one of the last five financial years. This threshold for each other member of JV/Association shall be more than Rs.2.0 crores.
- (ii) For arriving at updated value, turnover of any financial year shall be multiplied by the enhancement/updating factor corresponding to that year given in the **Annexure-II**.

B. Experience

The Consultant must have experience as follows:

- a) The consultancy firm shall have an experience of at least 15 years in preparing environment and social impact assessment reports, preparation of environmental and social management framework for road project involving road length not less than 150 Km in each project. For JV/Association qualifying length for lead member would be 100 Km (for each project) and for other partners it would be 75 Km of roadwork (for each project).
- b) Consultant/firm should be well versed with the policy frameworks/instruments recognized by the MFIs like World Bank, ADB and other MFIs.
- c) Experience of working in hilly terrain shall be given preference.
- d) Experience in externally aided projects shall be given preference.

C. ORGANISATIONALSTRUCTURE&PERMANENTSTAFFING

- a) At least 15 years in the business of preparing ESMF as an Independent Safeguard Consultant with minimum 5 years in Hill Road experience.
- b) At least 6 no. of permanent Key-Staff.

D. SIMILAR WORKS

A Project would qualify as similar Project, if it meets with following:

Widening & strengthening of existing roads (by not providing separate carriageway);

Consultant must satisfy to work in a road project of not less than total length of 500 Km for becoming eligible for short-listing.

Consultant must satisfy A, B, C for becoming eligible for short-listing.

Annexure - I

1. Expression of Interest Form for Consulting Firm or Joint Venture/Association (To be filled up by each of the constituent in case of a Joint Venture/Association)

Consultant	Date of Establishment	Country	Type of organization			
			Individual	Partnership	Corporation	Other
Name						

2. Corporate/ registered Office/ Business Address/ Telephone Nos./ Cable Address/ email address of consultant and its branch offices for consultant (including members in case of JV/Association).
3. Consultant's former name and year of establishment (including that of members in case of JV/Association).
4. Narrative description of Consultant firms if any (Use other sheet, if necessary).
5. Name of, not more than two (2) principals who may be contacted with title, telephone number/fax number, E-mail address.

Annexure-II

Financial Statement of the last five financial years

Sr. No.	Particulars		2019-20	2018-19	2017-18	2016-17	2015-16
1	Annual turnover from Consulting business (INR Crores)	Lead Member					
		Other Member					
2	Net Profit (INR Crores)	Lead Member					
		Other Member					

Notes:

- a. Values should be duly certified by statutory body like Chartered Accountant or Independent Auditors who are competent to do so as recognized by the State/Central Govt. concerned.
- b. The amount shall be stated in Indian Rupees (INR).
- c. For the purpose of shortlisting, conversion to Indian Rupees shall be based average of the buying and selling rates of Reserve Bank of India as on the EoI Proposal submission date.
- d. In case the exchange rate for any currency is not available as per the provision of this section, then HPRIDC reserves the right to use the rate available from an alternative source at its sole discretion.
- e. Enhancement/Updating Factor:-

Sr. No.	Year	Enhancement/Updating factor
1	2019-20	1.0
2	2018-19	1.1
3	2017-18	1.21
4	2016-17	1.331
5	2015-16	1.4641

Annexure-IV

Details of Experience:

Sr No.	Projects Name/Year	Type of ESMF Services	Cost of Assignment (Rs. in Crore)	Client (With complete address, contact person, telephone No. Fax No. And E-mail address)	Fee in INR (Applicant's share in case of JV/Association)	Duration in months	International Funding Agency	%age completion of assignment as on July31, 2021
1	2	3	4	5	6	7	8	9
1	Sole Consultant or for each of the Members in case of JV/Association							
	(a)Funded by World Bank							
	(b)Funded by Multi Doner bank (other Than WB)							
	(c)India							
	(d)Others							

Note: **only those assignments shall be considered for which consultant has provided services as lead member in case that work is done by a JV/Association.**

Each assignment shall be supported by following details: -

Name of Overall assignment: _____

Location of Overall assignment: _____

Owner's Name and Address: _____

Completion (Actual/Estimated vis-à-vis Stipulated): _____

Description of assignment: _____

Description of Services provided by the firm: _____

Authorized Signatory
Name of Client

Annexure-V

Permanent Key- Staff for the consultancy services

Sr. No.	Specialisation	Number required	Name	Name of firm	Education Qualification and Experience desired
1.	Environmental Expert	1			As per the requirement of Indicative terms of Reference
2.	Social Expert	1			-----Do-----
3.	Tribal Expert	1			-----Do-----
4.	Labor Expert	1			-----Do-----
5.	Gender Expert	1			-----Do-----
6.	Community consultation expert	1			-----Do-----

(Note: Brief CVs of above Key Professionals are required to be submitted with EoI proposals as per the requirement of Indicative terms of reference)

Annexure-VI

Undertaking

I certify that the information in the above Expression of Interest form is true to the best of my knowledge. I also understand that any misleading or wrong information will disqualify this application straightway.