

## **Expression of Interest (EoI) for Consultancy Services**

Selection of “Environmental and Social Impact Assessment Consultant” (ESIA) for conducting an Environmental and Social Assessment of 2000km core network and preparation of Environmental and Social impacts mitigation/management instruments

### **HIMACHAL PRADESH STATE ROADS TRANSFORMATION PROJECT (HPSRTP)**

**REQUEST FOR EXPRESSIONS OF INTEREST  
(CONSULTING SERVICES)**

**INDIA**

**HIMACHAL PRADESH STATE ROADS TRANSFORMATION PROJECT (HPSRTP)**

Preparation Advance No. P4900-IN

**Assignment Title:** Selection of “Environmental and Social Impact Assessment Consultant” (ESIA) for conducting an Environmental and Social Assessment of 2000km core network and preparation of Environmental and Social impacts mitigation/management instruments to support HPRIDC in HPSRTP {2000 km (650 km up-gradation+1350 km maintenance)}.

**Reference No.** (as per Procurement Plan): P.P No.- 1 of 2017

The Government of Himachal Pradesh has applied for financing from the World Bank toward the cost of the Himachal Pradesh State Roads Transformation Project (HPSRTP), and intends to apply part of the proceeds for consulting services.

The consulting services (“the Services”) include:-

- a) Conduct detail Environmental and Social Impact Assessment (ESIA) for selection of 650km of up-gradation with the priority of 128 km and 1350km of maintenance roads in accordance with Bank’s new ESF. While conducting ESIA, the Consultant will coordinate with DPR Consultant to ensure integration of ESA findings in engineering feasibility studies. In addition the Consultant will prepare as necessary any Environmental and Social Management Plan (ESMP), Biodiversity Management Plan (BMP), Resettlement Policy Framework (RPF), Resettlement Action Plan (RAP), Tribal (Indigenous) Peoples Policy Framework (TPPF), Indigenous People Development Plan (IPDP), Labor Management Procedure and Stakeholder Engagement Plan (SEP) etc as appropriate for the activities corresponding to tranche 1 of this multiphase programmatic engagement as indicated in Table 1 (of indicative ToR).
- b) Conduct, as the first step, for the entire 2000 km a comprehensive Environmental and Social Screening.
- c) Support the client in complying with requirements such as preparation of application and supplementary reports (survey and preparation) required as per local regulatory requirements for obtaining project’s clearances like forest /environmental/wildlife clearances, if applicable, and presentation before expert panel committees of MoEF & CC, Govt. of India.
- d) Develop a coordination mechanism to ensure timely sharing/exchange of information and documents with Feasibility and DPR Consultant. The Consultant shall ensure DPR Consultant integrate recommendations on environmental and social mitigation measures in design, working drawings, developing specifications, estimates of quantity and ESMP budget; and linking quantity and management measures in bid document.

- e) Support the client in developing Stakeholder Engagement Plan (SEP) for entire project cycle and its disclosure before project appraisal. The Consultant shall conduct consultations with identified stakeholders and project-affected parties/community from early project planning and design stages of the assignment.
- f) Support the client in helping HPRIDC develop by Project Appraisal an 'Environment and Social Commitment Plan' (ESCP) for agreement with the World Bank. The ESCP will provide according to a specific timeframe (a) a list of management plans, based on ESA/ESIA findings that borrower will develop and implement, (b) the appropriate plans and actions required for the project to meet ESSs requirements, (c) adaptive management process for changes in project scope and unforeseen circumstances, and (d) include targets and performance indicators for borrower's monitoring.
- g) Conduct capacity building training on Bank's new Environmental and Social Framework (ESF), Environmental and Social Management Plan, and aspects like slope stabilization and erosion control; efficient use of raw materials i.e. use of local materials, recycled aggregates; climate resilient measures; water conservation and management, GHG reduction, emergency preparedness etc. which are integral part of Bank's ESF policy.

The objective of the assignment is also to ensure that these processes and outputs are in line with all applicable World Bank safeguard policies.

The indicative Terms of Reference (ToR) subject to modification & finalization before issuing of Request for Proposal (RFP), for the assignment are available at the following websites / URL:-  
 (1) <http://himachalservices.nic.in/hpridc/> (2) <http://hppwd.gov.in/> (3) <http://tenders.gov.in/>.

The Himachal Pradesh Road and Other Infrastructure Development Corporation Limited (HPRIDC) now invites eligible consulting firms ("Consultants"), to indicate their interest in providing the Services. Interested Consultants should provide information demonstrating that they have the required qualifications and relevant experience to perform the Services. The short listing criteria are given in the Expression of Interest (EoI). The EoI includes the following documents:-

- (i) Section I- Instructions for submission of Expression of Interest and Guidelines for preparation of EoI.
- (ii) Section-II Eligibility and short listing Criteria including Annexure-I to V.

The attention of interested Consultants is drawn to Section III, paragraphs, 3.14, 3.16, and 3.17 of the World Bank's "Procurement Regulations for IPF Borrowers" July 2016 ("Procurement Regulations"), setting forth the World Bank's policy on conflict of interest.

Consultants may associate with other firms to enhance their qualifications, but should indicate clearly whether the association is in the form of a joint venture and/or a sub-consultancy. In the case of a joint venture, all the partners in the joint venture shall be jointly and severally liable for the entire contract, if selected.

A Consultant will be selected in accordance with the QCBS method set out in the Procurement Regulations.

The detailed “Request for Expression of Interest” can be downloaded from any of the following websites/ URL:- (1) <http://himachalservices.nic.in/hpridc/> (2) <http://hppwd.gov.in/> (3) <http://tenders.gov.in/> .

Further information can be obtained at the address below during office hours *i.e. 1000 to 1700 hours Indian Standard Time (IST) on working day.*

Expressions of interest must be delivered in a written form to the address below (in person, or by post) by April 02, 2019 at 1500 hours (IST).

Dated:- March 02, 2019.

-Sd-

Chief Engineer-cum-Project Director  
State Roads Project, HPRIDC,  
Nirman Bhawan, Nigam Vihar,  
Shimla-171002(H.P)  
Tel:+91-177-2627602,Fax: +91-177-2620663  
E-mail: [pdsrp-hp@nic.in](mailto:pdsrp-hp@nic.in)

<b>Section-I</b>	
<b>Instructions for submission of Expression of Interest:</b>	
1.	Consultant shall go through the guidelines given below and section II before preparing EoI proposal.
2.	Consultant who meets short listing criteria given in section II, shall prepare EoI as per the guidelines. If the Consultant feels to submit certain information not covered in the guidelines would be in his interest, he may submit such information
3.	Accomplished Expression of Interest (Application) must be submitted together with a Letter of Intent not later than <b>15:00 hours (IST) on April 02, 2019</b> . Documents in support of all qualification information shall be submitted with application. Proposal of EoI with qualification information shall be furnished on/before the due date of submission at the address shown under para 12 here below.
4.	The Application shall be basis of drawing up a shortlist of eligible consultants who will be invited to submit proposal for services required.
5.	All Applications shall be submitted in English.
6.	Power of attorney (on stamp paper duly notarized) of the person submitting the Expression of Interest on behalf of the Applicant shall be attached. Joint Venture (JV) shall not have more than 3 members. One of the members should be a Lead Member.
7.	The Consultant (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture member in another Proposal, if a Consultant, including any Joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected.
8.	The Application and all related correspondence and documents should be written in the English language. Supporting documents and printed literature furnished by Applicant with the Application may be in any other language provided that they are accompanied with translations in the English language. Supporting materials, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.
9.	HPRIDC reserves the right to reject any Applications, without assigning any reasons thereof.
10.	The Applicant shall provide all the information sought under this REOI Document. HPRIDC would evaluate only those Applications that are received in the required format and complete in all respects. Incomplete and / or conditional Applications shall be liable to rejection.
11.	No claims whatsoever will be entertained if submission is not received by due date and time.
12.	Any submission must be addressed to the following: <i>Office of the Chief Engineer-cum-Project Director, State Roads Project, HPRIDC, Nirman Bhawan, Nigam Vihar, Shimla (H.P.), India PIN-171002</i>
13.	The Proposal of EoI must be submitted no later than: <b>Date: April 02, 2019 Time: 15:00 hours (IST)</b> Opening of the EoI Proposal, The opening shall take place at: Office of the Chief Engineer-cum-Project Director, <i>State Roads Project, HPRIDC, Nirman Bhawan,</i>

	<p><i>Nigam Vihar, Shimla (H.P.), India PIN-171002</i></p> <p><b>Date: April 02,2019 Time: 15:30 hours (IST)</b></p>
14.	The Consultant shall submit a signed and complete EoI comprising the documents and forms in accordance with EoI documents.
15.	An authorized representative of the Consultant shall sign the original submission letters in the required format for EoI submission and shall initial all pages. The authorization shall be in the form of a written power of attorney attached to the EoI Proposal.
16.	The signed EoI shall be marked “ <b>ORIGINAL</b> ”, and its <b>two copies</b> marked “ <b>COPY-1 &amp; COPY-2</b> ” as appropriate. Copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.
17.	The original and the copies of the EoI submission shall be placed inside of a sealed envelope clearly marked “ <b>EoI PROPOSAL</b> ”, for <b>Selection of “Environmental and Social Impact Assessment Consultant” (ESIA) for conducting an Environmental and Social Assessment of 2000km core network and preparation of Environmental and Social impacts mitigation/management instruments to support HPRIDC in HPSRTP {2000 km (650 km up-gradation+1350 km maintenance)}</b> for the proposed H.P. State Roads Transformation Project (HPSRTP) in the State of Himachal Pradesh”, reference number, name and address of the Consultant, and with a warning “ <b>DO NOT OPEN UNTIL April 02, 2019 15:30 HOURS (IST).</b> ”
18.	The sealed envelopes containing the EoI submission original and copies shall be placed into one outer envelope and sealed. This outer envelope shall bear the submission address, reference number, the name of the assignment, Consultant’s name and the address, and shall be clearly marked “ <b>DO NOT OPEN BEFORE APRIL 02,2019 Time 15:30 HOURS (IST)</b> ”
19.	If the envelopes and packages with the EoI are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the EoI.
20.	<p><b>Any submission must be addressed to the following:</b></p> <p>Chief Engineer-cum-Project Director,  State Roads Project, HPRIDC, Nirman Bhawan,  Nigam Vihar, Shimla (H.P.), India PIN-171002.</p>

<b>Guidelines for Preparation of Expression of Interest</b>	
	Following information shall be submitted using given formats and where no formats are specified, free format can be used.
1.	Complete name of firm, date of establishment and type of organization whether individual, proprietorship, partnership, private limited company, public limited company etc. ( <b>Annexure I</b> ).
2.	Exact and complete corporate/registered/home office address, business address, telephone numbers, fax numbers, E-mail and cable address. For consultant of foreign registry, indicate if there is any branch office(s) established in India with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually ( <b>Annexure I</b> ).
3.	If present firm is the successor to or outgrowth of one or more predecessor firms, fresh name(s) of former entity (ties) and year(s) of their original establishment with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually ( <b>Annexure I</b> ).
4.	Present a brief narrative description of the firms. Information is to be furnished by sole applicant or members of JV individually ( <b>Annexure I</b> ).
5.	List of not more than two (2) principals who may be contacted by this Office. Listed principals must be empowered to speak for him or for the firm on policy and contractual matters. Information is to be furnished by sole applicant or members of JV individually. ( <b>Annexure I</b> )
6.	Under this item, indicate financial figures from consultancy business for past 5 (five) financial years. ( <b>Annexure II</b> )
7.	Organizational strength of consultant and its constituents in case of a JV shall be given. ( <b>Annexure III</b> )
8.	Consultant's experience shall be given under three categories (a) Preparation/Review of EIA reports. (b) Preparation/Review of SIA reports. (c) Preparation/Review of Biodiversity Management Plan. In case of JV applicant, each member has to likewise give above information. Only those works which are done as prime consultant need to be reported. ( <b>Annexure IV</b> )
9.	As many references from employers as the consultant (for each member in case of JV) may choose shall be submitted.
10.	An undertaking for supply of true information shall be given as per ( <b>Annexure V</b> ).

## **Section - II**

### **Short Listing Criteria**

#### **A. Annual Turn Over**

- (i) Annual turnover of any one of the last five financial years i.e. from 2013 -2014 to 2017-2018 updated to the current financial year shall be more than Rs. 5 crores. In case of JV, the lead member shall have more than Rs. 4 crores of annual turnover (updated) of any one of the last five financial years. This threshold for each other member of JV shall be more than Rs. 3 crores.
- (ii) For arriving at updated value, turnover of any financial year shall be multiplied by the enhancement/updating factor corresponding to that year given in the **Annexure-II**.

#### **B. Experience**

The Consultant must have experience as follows:

- a) The experience of preparation/review of EIA reports of road project involving road length not less than 350 Km in each project. For JV (as a lead member) qualifying length for lead member would be 250 Km (for each project) and for other partners it would be 150 Km of road work (for each project). Such project must have been completed within last 5 (five) calendar years including 2018.
- b) The experience of preparation/review of SIA reports of road project involving road length not less than 350 Km in each project. For JV (as a lead member) qualifying length for lead member would be 250 Km (for each project) and for other partners it would be 150 Km of road work (for each project). Such project must have been completed within last 5 (five) calendar years including 2018.
- c) The experience of preparation/review of Biodiversity Management Plan of road project involving road length not less than 350 Km in each project. For JV (as a lead member) qualifying length for lead member would be 250 Km (for each project) and for other partners it would be 150 Km of road work (for each project). Such project must have been completed within last 5 (five) calendar years including 2018.
- d) Experience of working in hilly terrain shall be given preference.
- e) Experience in externally aided projects shall be given preference

#### **C. ORGANISATIONAL STRUCTURE & PERMANENT STAFFING:**

- (a) At least 5 years in the business of preparing ESIA as an Independent Safeguard Consultant with minimum 2 years in Hill Road experience.
- (b) At least 4 no. of permanent Key-Staff.

A Project would qualify as similar Project if it meets with following:

- (a) Widening & Strengthening of existing roads (by not providing separate carriageway)  
Consultant must satisfy A, B and C for becoming eligible for short-listing.



**Annexure-I**

**Expression of Interest Form for Consulting Firm or Joint Venture (To be filled up by each of the constituent in case of a Joint Venture)**

1.

Consultant	Date of Establishment	Country	Type of organization			
			Individual	Partnership	Corporation	Other
Name						

2. Corporate/ registered Office/ Business Address/ Telephone Nos./ Cable Address/ email address of consultant and its branch offices for consultant (including members in case of JV).
3. Consultant's former name and year of establishment (including that of members in case of JV).
4. Narrative description of Consultant firms if any (Use other sheet, if necessary).
5. Name of, not more than two (2) principals who may be contacted with title, telephone number/fax number, E-mail address.

**Annexure-II**

**Financial Statement of the last five financial years**

		<b>Rupees in Crore</b>					
<b>Sr. No.</b>	<b>Particulars</b>		<b>2017-18</b>	<b>2016-17</b>	<b>2015-16</b>	<b>2014-15</b>	<b>2013-14</b>
1	Annual turnover from Consulting business	Lead Member					
		Other member(1)					
		Other member(1)					
2	Net Profit	Lead Member					
		Other member(1)					
		Other member(1)					

**Notes:**

- i. Values should be duly certified by statutory body like Chartered Accountant or Independent Auditors who are competent to do so as recognized by the State concerned.
- ii. The amount shall be stated in Indian Rupees (INR).
- iii. For the purpose of short listing, conversion to Indian Rupees shall be based average of the buying and selling rates of Reserve Bank of India as on the EoIProposal submission date.
- iv. In case the exchange rate for any currency is not available as per the provision of this section, then HPRIDC reserves the right to use the rate available from an alternative source at its sole discretion.
- v. Enhancement/Updating Factor:-

<b>Sr. No.</b>	<b>Year</b>	<b>Enhancement/ Updating factor</b>
1	2017-18	1.0
2	2016-17	1.1
3	2015-16	1.21
4	2014-15	1.2221
5	2013-14	1.34431

**Annexure-III**

**Organizational strength:**

Name	Total Strength	Engineers/ Specialist and institutional expert on payroll and having over 10 years experience	Engineers/ Specialist and institutional expert continuously on payroll for over 7 years	Engineers/ Specialist and institutional expert working only in road sector	Engineers/Specialist and institutional expert having				Remarks
					Doctorates	Post Graduates	Graduates	Diploma	
						MBA	OTHERS		

**Annexure-IV**  
**Details of Experience:**

Sr No.	Projects Name/Year	Type of services rendered including (A,B and C)	Cost of the assignment of category A (Rs. in Crore)	Cost of the assignment of category B (Rs. in Crore)	Cost of the assignment of category C (Rs. in Crore)	Client (With complete address, contact person, telephone No. Fax No. and E-mail address)	Fee in INR (Applicant's share in case of JV)	Duration in months	International Funding Agency	%age completion of assignment as on December 31, 2018
1	2	3	4	5	6	7	8	9	10	11
1	<b>Sole Consultant or for each of the members in case of JV</b>									
	(a) Funded by World Bank									
	(b) Funded by Multi Doner bank (other than WB)									
	(c) India									
	(d) Others									

Note: **only those assignments shall be considered for which consultant has provided services as lead member in case that work is done by a JV.**

Each assignment shall be supported by following details:-

Name of Overall assignment: \_\_\_\_\_  
 Location of Overall assignment: \_\_\_\_\_  
 Owner's Name and Address: \_\_\_\_\_  
 Completion (Actual/Estimated vis-à-vis Stipulated): \_\_\_\_\_  
 Description of assignment: \_\_\_\_\_  
 Description of Services provided by the firm: \_\_\_\_\_

Authorized Signatory  
Name of Client

## **Annexure-V**

### **Undertaking**

I certify that the information in the above Expression of Interest forms is true to the best of my knowledge. I also understand that any misleading or wrong information will disqualify this application straightway.